Introduction

**Purpose:** The integrity of Cornell University’s research, instruction, and outreach activities is of the utmost importance, as is maintaining the trust of the public and that of our sponsors in the integrity and credibility of our faculty, staff, and students as they perform their Cornell research and other Cornell duties.

The principles and procedures of the Cornell University Conflicts Policy and the Financial Conflicts of Interest Related to Research policy assure that members of the Faculty and Staff of Instruction and Research and other research personnel will report their external commitments and financial interests in a timely manner and that their real and apparent financial conflicts of interests and conflicts of commitment will be identified and responsibly managed. These principles and procedures ensure compliance with all applicable federal and state regulations, research award terms, and policies regarding financial conflicts of interest and conflicts of commitment.

**Confidentiality:** The University is committed to maintaining the confidentiality of reported information as far as is practicable and consistent with legal obligations. Information provided is shared only with Financial Conflicts of Interest Committee members and staff who are involved in the processing and review of the information. Other individuals, including those who create and implement management plans, unit heads, and Institutional Review Board (IRB) members and staff, receive this information on a need-to-know basis.

For resources and guidance on policy and procedures, please visit the Conflicts of Interest website at [http://www.oria.cornell.edu/COI](http://www.oria.cornell.edu/COI) or contact the fCOI office by email at coi@cornell.edu and by phone 607-255-8994.

**Instructions:**

On the following screens, you will be asked questions about your external commitments and financial interests. The documents that you might need in order to complete this report include, but are not limited to: tax forms, consulting agreements, and licensing agreements. The amount of time it will take you to complete the report will vary based on your reported external commitments and financial interests.

The required information for each question and entity must be completed before the report can be submitted. You may save the report at any time and finish it later. Upon completion, you must certify that you have fully and accurately disclosed your external commitments and financial interests. Upon completion and submission, your report will be reviewed by the Financial Conflicts of Interests Committee (FCOIC).

[Click here](http://www.oria.cornell.edu/COI) to view a blank copy of the FCOI Report.

If you have questions or require assistance with completing this report, please contact the fCOI office or call 607-255-8994.
Screening Questions: Your answers to the screening questions will determine any additional information that will be requested later in the report.

A. Cornell Research Activity

"Cornell research," for purposes of this report, is research conducted in the course of an appointment with the university and/or using university resources, and includes sponsored research and extension, non-sponsored research, and research-related agreements.

A.1. Do you participate in the design, conduct, reporting, or direct administration of Cornell research in the following categories?

- Sponsored research and extension: research and extension funded by federal and non-federal sources through contracts, grants, gifts, clinical trials, training grants, or other forms of funding.
- Non-sponsored research: professional interests pursued through research that are supported by University funds including, but not limited to, internal allocations, gifts, endowment income, fellowships, licensing income, salary recovery, and cost sharing.
- Research-related agreements: including but not limited to licensing agreements, material transfer agreements, non-disclosure agreements, and product testing agreements.

  Yes  No

B. External Commitment or Financial Interest

Regulations and Cornell policy require that we collect information for you, your spouse/same-sex partner, and dependent child(ren). Unless noted otherwise, you must report for all of these individuals, who will be referred to as "you/your family" in the following questions.

An "entity" or "external entity," for purposes of this report, is an independent, separate organization, external to Cornell.

Do not include participation in professional service activities that support the primary missions of scholarship and teaching, such as lectureships at other universities, appointments on review panels for federal, state or local government agencies and non-profit professional societies. Do not include participation in unpaid/volunteer activities.

B.1. Did YOU have during the past 12 months or currently anticipate having in the next 12 months, any of the following external commitments or financial interests with any external entity? (see exclusions above)

  a. Paid consulting or presentations/lectures etc.

    Yes  No

  b. Paid service in a management, advisory, policy, fundraising, or other fiduciary role.

    Yes  No

  c. Paid employment (including in your own sole proprietorship, partnership, or corporation).

    Yes  No

  d. Participation in a licensing, patent, royalty, or other intellectual property agreement with an external entity not negotiated by CCTEC on behalf of the University.

    Yes  No

  e. Conduct of Cornell research on a technology that you have developed and that is licensed, assigned, or otherwise legally obligated to an entity in which you do not
have any ownership interest.

- Yes  - No

f. Participation in Cornell research activities whose outcome could lead to or impact your financial interest in an external entity.

- Yes  - No

B.2. Did ANY MEMBER OF YOUR FAMILY have during the past 12 months or currently anticipate having in the next 12 months, any of the following external commitments or financial interests with any external entity whose activities could be related to your Cornell duties in any of the following ways: (see exclusions in “B” above)

- Entity is a sponsor, subcontractor, or supplier or lessor of goods, materials, proprietary information, services, or facilities for your Cornell duties.
- Entity makes use of your Cornell research or scholarly work either directly or indirectly, for example-entity is contracted for your expert testimony, witness or opinion which is derived from your Cornell duties.
- Entity is a member of an industry, trade, or advocacy group that directly funds your research or funds a sponsor of your Cornell research.
- Entity manufactures, commercializes, or is developing a product that is being used, evaluated, or further developed by your Cornell duties.
- Entity will receive materials, data, or other information from your Cornell research and/or other Cornell duties.
- Entity is a competitor of a sponsor of your Cornell research.
- Entity refers human participants for your Cornell research.
- Entity has some other relationship not described above that could be related to or could be affected by your Cornell duties.

a. Paid consulting or presentations/lectures etc.

- Yes  - No

b. Paid service in a management, advisory, policy, fundraising, or other fiduciary role.

- Yes  - No

c. Paid employment (including in your sole proprietorship, partnership, or corporation).

- Yes  - No

d. Participation in a licensing, patent, royalty, or other intellectual property agreement with an external entity.

- Yes  - No

Significant Financial Income: Payments or anything of monetary value (including the value of an equity interest) from a single entity that when aggregated for you and your family (as defined in the procedures for each campus) for the past 12 months or expected over the next 12 months, exceeds the PHS threshold, currently $10,000.

B.3. Did you or any member of your family receive Significant Financial Income from an external entity whose activities could be related to your Cornell duties (see B.2 for an explanation of relatedness)? Some examples of reportable income include:

- Honoraria or speaking fees, service on an advisory committee or review panel, lectureships or other paid appointments (excluding those from universities, federal, state or local government agencies and non-profit professional societies. Do not include reimbursement for reasonable travel expenses.)
- Royalties (in which Cornell may or may not be a party) from licensing or other activities. Do not include royalty income from publications of scholarly or academic work.
- Gifts, grants, awards, or other funding to the University for support of your Cornell activities (do not include sponsored awards executed through the Cornell Office of Sponsored Programs)
- Gifts, vacations, airline tickets, and other non-monetary payments for personal use
- Housing or travel support beyond what is reasonably needed to discharge services rendered
• Financial bonus payments or other compensation and/or penalties related to the speed of human participant enrollment, number of enrollees, or other milestones
• Compensation from sale of goods or services excluding consulting
• Income from monetary loans to the entity or money borrowed from the entity
• Fees for services or other monetary payments not included above

Yes  No
C. Significant Equity Interest

Equity includes bonds, publicly traded stocks or stock options; privately held stocks or stock options; convertible securities; warrants (i.e., promise of funds upon a demonstration of need); interest in real or personal property; dividends, royalties, profit sharing, capital gains; legal partnerships or other ownership interests. Equity, for the purposes of this report, does not include mutual fund interests or stocks held through any non-self-directed 403B, 401K, IRA, or other retirement accounts.

Significant Equity Interest: For a publicly held business, an equity interest that exceeds the Public Health Service (PHS) Threshold, currently: (i) $10,000 in value as determined through reference to public prices or other reasonable measures of fair market value; or (ii) representing 5% ownership in such business; for a privately held business, any equity interest in such business, regardless of the amount.

C.1. Do you/your family hold a Significant Equity Interest in an external entity that engages in activities that could be related to your Cornell duties in any of the following ways?

- Entity is a sponsor, subcontractor, or supplier or lessor of goods, materials, proprietary information, services, or facilities for your Cornell duties.
- Entity makes use of your Cornell research or scholarly work either directly or indirectly, for example-entity is contracted for your expert testimony, witness or opinion which is derived from your Cornell duties.
- Entity is a member of an industry, trade, or advocacy group that directly funds your research or funds a sponsor of your Cornell research.
- Entity manufactures, commercializes, or is developing a product that is being used, evaluated, or further developed by your Cornell duties.
- Entity will receive materials, data, or other information from your Cornell research and/or other Cornell duties.
- Entity is a competitor of a sponsor of your Cornell research.
- Entity refers human participants for your Cornell research.
- Entity has some other relationship not described above that could be related to or could be affected by your Cornell duties.

- Yes  No

Listed below are the entities that you have entered in this or a previous report. Select those entities in which you/your family 1) currently own an equity interest AND 2) that meet one or more of the criteria above. Add any additional entities if appropriate.

<table>
<thead>
<tr>
<th>Entity</th>
<th>Check all that apply</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Entity</td>
<td>□</td>
</tr>
</tbody>
</table>

Add New Entity

D. Other Significant Financial Interests

Significant Financial Interest (SFI) is defined as follows:

(1) Significant Equity Interest: For a publicly held business, an equity interest that exceeds the Public Health Service (PHS) Threshold, currently: (i) $10,000 in value as determined through reference to public prices or other reasonable measures of fair market value; or (ii) representing 5% ownership in such business; for a privately held business, any equity interest in such business, regardless of the amount.

And/Or
(2) Significant Financial Income: Payments or anything of monetary value (including the value of an equity interest) from a single entity that when aggregated for you and your family (as defined in the procedures for each campus) for the past 12 months or expected over the next 12 months, exceeds the PHS threshold, currently $10,000.

D.1. Do you/your family have any other Significant Financial Interests not mentioned above with an external entity that engages in activities that could be related to your Cornell duties?

- Yes  
- No

Please explain:
Part II Detailed Entity Reporting

In the Screening Section, you indicated that you or your family have an external commitment, significant financial interest, or equity interest in one or more of the entities listed below.

Click here if you want to see a summary of your responses to the questions in the Screening Section.

Although you may have indicated only certain relationships with an external entity, you will be asked to complete, or review and update, questions that cover the full set of relationships. This will assist you and the reviewers to ensure that reporting requirements related to conflicts of interest are met.

Instructions to begin/update Entity specific reports:

For each entity:

1. Click on the name of the entity to update the address and other information about the entity.
2. Go to the "Report Actions" column, and click on "Begin Report" or "Continue Report".
3. Complete all required information on each "tab" (located at the top of the report screen) in the reporting form.
4. You may save information in your report and come back to complete it later.
5. You may use the "Add/View Comments" and "Add/View Attachments" features (located on the left of the screen) to add comments to reviewers or provide additional information relevant to your report.

Once you have completed and reviewed the report for each Entity, you may submit it for fCOI review.

<table>
<thead>
<tr>
<th>Entity</th>
<th>Report Status</th>
<th>Report Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Entity</td>
<td>Not Started</td>
<td>Begin Report</td>
</tr>
</tbody>
</table>

Note: You must complete the report for each entity listed above before you can go to the Verification page.
1. Consulting
Please answer the following questions in reference to Example Entity

A.1. Did you consult for this entity over the past 12 months, and/or do you anticipate consulting for this entity during the next 12 months?
   - Yes  No

A.2. Did your family consult for this entity over the past 12 months and/or do they anticipate consulting for this entity during the next 12 months?
   - Yes  No

Please answer the following questions that are related to your responses to questions A1 and A2 above:

a. Have you informed your unit head of your consulting activity/commitment?
   - Yes  No

b. Do you have a written consulting agreement with this entity?
   - Yes  No

c. State the total number of days you consulted or plan to consult with this entity.
   - Number of days over the past 12 months: 
     (Do not include consulting activities while on unpaid leave or during the summer if you were on a 9-month appointment unless you were simultaneously receiving salary from Cornell provided by sponsored research funds or any other source.):
     days

   - Current estimate of number of days over the next 12 months: 
     (Do not include consulting activities while on unpaid leave or during the summer if you are on a 9-month appointment unless you expect to be simultaneously receiving salary from Cornell provided by sponsored research funds or any other source.):
     days  Don't Know

d. Describe the nature of your consulting activities.


e. Have you ensured that there is no conflict between your consulting responsibilities to this entity and

   a. your Cornell duties?
      - Yes  No  Don't know
      Please explain:

   b. your and Cornell's responsibilities to sponsors of your research.
      - Yes  No  Don't know
      Please explain:
f. Total consulting income received by you/your family over the past 12 months from this entity (exclude reasonable cost for travel, meals, and housing accommodation):

- $<10,000
- $10,000-$25,000
- $25,001-$50,000
- $>50,000

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g. Total consulting income currently anticipated by you/your family over the next 12 months from this entity (exclude reasonable cost for travel, meals, and housing accommodation):

- $<10,000
- $10,000-$25,000
- $25,001-$50,000
- $>50,000
- Don't know

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h. Restrictions on research results: Do the terms of your consulting agreement in any way restrict the release of Cornell research results by you or by Cornell faculty, students, or staff?

- Yes
- No

Please explain:

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i. Student/employee involvement: Have any Cornell students under your academic supervision or any Cornell employees under your direct or indirect supervision performed or assisted with this consulting, and/or do you expect that any such individuals will perform or assist in this consulting?

- Yes
- No

Please explain and state whether you are the student’s thesis/academic advisor or the employee’s direct supervisor.

---

j. Use of Cornell resources: Are any consulting duties performed in any Cornell facility or do they utilize Cornell resources or services (including use by entity employees) other than:

1. routine use of your Cornell office and library resources
2. use of Cornell shared and core facilities under terms and fees available to all outside commercial users.

- Yes
- No

Please explain:
## B. Management or Employment

Please answer the following questions in reference to **Example Entity**

### B.1. Indicate if, regardless of compensation, you/your family hold, or currently anticipate holding during the next 12 months, one of the positions listed below with this entity. Check all the relationships that apply.

<table>
<thead>
<tr>
<th>Position/Employment</th>
<th>You</th>
<th>Your Family</th>
<th>Total Compensation Received during the last 12 months</th>
<th>Total Compensation Currently Estimated for the next 12 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>Owner/Partner</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Trustee, officer, or agent</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Director/Manager</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Self-employed (in your sole proprietorship, partnership, or corporation)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Member, Scientific Advisory Board</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Member, Board of Directors</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Member, Scientific Review Committee</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Management or Employee role not stated above - Please Specify:</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>No management or employee role</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

If **you** held a management/employment position with this entity*, state the total number of days you spent performing the related duties. (Do not include consulting days already reported in this report or days spent performing these duties while on unpaid leave or during the summer if you are on a 9-month appointment unless you were, and/or expect to be, simultaneously receiving salary from Cornell provided by sponsored research funds or any other source.)

*If you did not hold any management/employment positions with this entity, state "N/A".

Number of days over the past 12 months:

<table>
<thead>
<tr>
<th>days</th>
</tr>
</thead>
</table>

Current estimate of number of days over the next 12 months:

<table>
<thead>
<tr>
<th>days</th>
<th>Don’t Know</th>
</tr>
</thead>
</table>

---

If you have questions or require assistance with completing this report, please email the fCOI office or call 807-255-8994.
### C. Additional Compensation

Please answer the following questions in reference to Example Entity.

Compensation: For the purposes of this report, compensation refers to the process of exchanging monetary value for work performed. Do not include compensation received from universities, federal, state or local government agencies and non-profit professional societies, or reimbursement for reasonable travel expenses.

C.1. Indicate if you/your family received any of the following forms of compensation from this entity in the past 12 months or if you/they currently anticipate or have been promised to receive such compensation during the upcoming 12 months. Check all the types of compensation that apply.

<table>
<thead>
<tr>
<th>Forms of Additional Compensation</th>
<th>You</th>
<th>Your Family</th>
<th>Total Compensation Received during the last 12 months</th>
<th>Total Compensation Currently Estimated for the next 12 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>Honoraria or speaking fees or service on an advisory committee or panel (excluding those from universities, government agencies, and non-profit professional societies)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Lectureships or other paid appointments</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Royalties to which Cornell is not a party</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Royalties under a licensing agreement to which Cornell is involved</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Gifts, grants, awards, or other funding to the University for support of your Cornell activities (do not include sponsored awards executed through the Office of Sponsored Programs)</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Personal gifts, airline ticket, vacations or other non monetary gifts/payments for personal use</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Housing, beyond what is reasonably needed to discharge services rendered</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Financial bonus payments or other compensation and/or penalties related to the speed of human participant enrollment, number of enrollees, or other milestones</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Compensation from sale of goods or services excluding consulting</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Income from monetary loans made to this entity, or money borrowed from this entity</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>
You indicated above that you receive royalties from the entity under a licensing agreement to which Cornell is not a party:

Describe the licensing agreement with this entity.

Please provide the effective date of the license agreement with this entity.

Please provide the reference number of the license agreement with this entity. If this information is not currently available, state N/A.

You indicated above that you receive royalties under a licensing agreement to which Cornell is involved:

Please provide reference number of the license agreement.

If you have questions or require assistance with completing this report, please email the fCOI office or call 807-255-8994.
D. Licensing and Copyright

Please answer the following questions in reference to Example Entity

D.1. For Non-Governmental Sponsored Projects: Do any sponsored agreements require the granting of an exclusive license or option to this entity?

- Yes
- No
- I do not have any Non-Governmental Sponsored Projects

Please explain:

D.2. Does this entity currently hold rights, or is it negotiating rights, to a pending application or issued patent to an invention(s), license rights, or software copyright for which you or your family are listed as inventors or authors? Check all situations that apply.

- Yes, this entity holds a license(s) from the University.
- Yes, I have assigned the rights to the University and this entity is negotiating a license.
- Yes, however, the University assigned the rights to me personally.
- Yes, but the application, patent, license right or software copyright did not arise from my employment with the University.
- Yes, but the application, patent, license right or software copyright did not arise from my employment with the University, and the University has officially disclaimed ownership.
- No
E. Conduct of Cornell Duties

Please answer the following questions in reference to *Example Entity*.

E.1. Is this entity related to the design, conduct, reporting, or direct administration of your Cornell research and/or Cornell duties, as follows?

- This entity is a sponsor, subcontractor, or supplier or lessor of goods, materials, proprietary information, services, or facilities for your Cornell duties.
- This entity makes use of your Cornell research or scholarly work either directly or indirectly. For example, entity is contracted for your expert testimony, witness or opinion, which is derived from your Cornell duties.
- This entity manufactures, commercializes, or is developing a product that has been or is being used, evaluated, or further developed by your Cornell research.
- This entity has or will receive materials, data, or other information from your Cornell duties.
- This entity is a competitor of a sponsor of your Cornell research.
- This entity is a member of an industry, trade, or advocacy group that directly funds your research or funds a sponsor of your Cornell duties.
- This entity refers human participants for your research.
- Another relationship not stated above.
- None of the above

You indicated above that the entity has some other relationship not described above that overlaps with, could be impacted by, or could impact your duties at Cornell. Please explain:

a. Did you participate in this entity's decision to provide funds, information, materials, supplies, services, or other resources to you or to enter into a license agreement with the University?

- Yes  
- No

Please explain your role in the decision-making process:

b. Student/Employee involvement; Have any Cornell students under your academic supervision or any Cornell employees under your direct or indirect supervision performed or assisted with any work with this entity, or do you expect that any such individuals will perform or assist in this work?

- Yes  
- No

Please explain and state whether you are the student's thesis/academic advisor or the employee's direct supervisor.

c. Does any employee or representative of this entity participate in deciding the design, conduct, reporting, or direct administration of your Cornell research?

- Yes  
- No

Please explain.
d. Does any employee or representative of this entity conduct any portion of your Cornell research?
   - Yes  No

   i. Does any employee or representative of this entity use any Cornell facilities or equipment?
      - Yes  No

   ii. Do you supervise or direct the activity of any employee or representative of this entity?
       - Yes  No

e. Use of Cornell resources: Are any of these consulting duties performed in any Cornell facility or use Cornell resources or services (including use by entity employees) other than:
   1. routine use of your Cornell office and library resources
   2. use of Cornell shared and core facilities under terms and fees available to all outside commercial users.

   - Yes  No

   Please explain and state how many hours per week:

   Previous  Next
   Save and Finish Later

If you have questions or require assistance with completing this report, please email the FCOI office or call 607-255-8904.
E. Conduct of Cornell Duties
Please answer the following questions in reference to *Example Entity*

E.1. Is this entity related to your Cornell duties, as follows?

- This entity is a sponsor, subcontractor, or supplier or lessor of goods, materials, proprietary information, services, or facilities for your Cornell duties.
- This entity makes use of your Cornell research or scholarly work either directly or indirectly. For example, entity is contracted for your expert testimony, witness or opinion, which is derived from your Cornell duties.
- This entity manufactures, commercializes, or is developing a product that has been or is being used, evaluated, or further developed by your Cornell research.
- This entity has or will receive materials, data, or other information from your Cornell duties.
- This entity is a competitor of a sponsor of your Cornell research.
- This entity is a member of an industry, trade, or advocacy group that directly funds your research or funds a sponsor of your Cornell duties.
- This entity refers human participants for your research.
- Another relationship not stated above.
- None of the above

a. Did you participate in this entity’s decision to provide funds, information, materials, supplies, services, or other resources to you or to enter into a license agreement with the University?

- Yes
- No

b. Does any employee or representative of this entity participate in the design, conduct, reporting, or direct administration of Cornell research?

- Yes
- No

c. Did you participate in Cornell University’s decision to enter into a contract or agreement with this entity?

- Yes
- No
F. Equity

Please answer the following questions in reference to Example Entity

You indicated in the Screening Section that you/your family hold a Significant Equity Interest in this entity and that this entity engages in activities that could be related to or could be affected by your Cornell research and/or other Cornell duties.

F.1. Check all applicable equity interests that you/your family currently hold, are promised, or plan to hold, in this entity.

- Legal partnership with the company sponsor or other ownership interests
- Privately held stocks/stock options
- Interest in real or personal property
- Dividends, royalties, profit sharing, capital gains
- Bonds
- Publicly traded stocks/stock options
- Convertible security
- Warrants (i.e., promise of funds upon a demonstration of need)
- Other - Please explain: None

a. Indicate the total percentage ownership interest currently held—or currently anticipate being held—by you/your family:

- <5%
- 6-25%
- 26-50%
- 51-75%
- 76-100%

b. If this entity is publicly traded, please indicate the total dollar value of the equity interest held by you/your family:

- < $10,000
- $10,000-$25,000
- $25,001-$50,000
- $50,001-$100,000
- > $50,000

This entity is not publicly traded

If you have questions or require assistance with completing this report, please email the fCOI office or call 807-255-8994.
You have completed reporting for the entities listed below.

- Example Entity

Is there an additional entity in which you have an external commitment or financial interest that you have not yet reported?

- Yes  
- No

If you have questions or require assistance with completing this report, please email the fCOI office or call 807-255-8994.
Part III - Verification

Review your COI report:

1. You can view/print a copy of this Report for your records by clicking on the following link: Print a Copy of My Report

2. Click on the Screening tab or Entity List tab to review and/or update your answers.

By submitting this form, I, Brett Haranin, certify that:

- This is a complete and accurate report of all the external commitments and financial interests that this form requires me to report.
- I have read and I understand the Financial Conflict of Interest Related to Research policy, the Cornell University Conflicts Policy and the procedures set forth by Cornell University as they apply to me.
- I agree to comply with the policies and procedures of Cornell University related to financial conflicts of interest and conflicts of commitment reporting.
- I am the person named above submitting the report.

Submit Report

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